



State of Connecticut
Department of Banking
CONSUMER CREDIT DIVISION
260 Constitution Plaza, Hartford, CT 06103



**APPLICATION FOR A FIRST MORTGAGE LENDER/BROKER ,
CORRESPONDENT LENDER/BROKER OR BROKER ONLY LICENSE**

*Application is hereby made for a license under Chapter 668 Part I(A)
of the Connecticut General Statutes.*

1. In what capacity does Applicant engage in the first mortgage business? (Please check appropriate box)

☐ **Lender/Broker** ☐ **Correspondent Lender/Broker** ☐ **Broker only**

2. Name of Applicant: _____
(Complete name under which business is conducted)

D/B/A Name (If applicable) _____

2a. Telephone No.: _____ 2b. Fax No: _____

2c. E-mail Address: _____

3. Location of office to be licensed under this application:

(Number and Street) (City) (State) (Zip Code)

Mailing address if different from location of office to be licensed:

(Number and Street) (City) (State) (Zip Code)

3a. Name and address of home office or parent company if Applicant is operated as a branch or subsidiary:

(Name)

(Number and Street) (City) (State) (Zip Code) (Phone Number)

4. Is the Applicant presently engaged in the first mortgage loan business? Yes ☐ No ☐ If yes, please answer 4(a) & 4(b).
(a) Date business commenced: _____
(b) States in which Applicant operates: _____

5. Form of organization: _____
(Sole Proprietorship, Partnership, Corporation, Limited Liability Company ("LLC"), etc.)
If incorporated, State and date of incorporation. If an LLC, the State and date of formation of the LLC:

(State) (Date)

a. Federal Employer Identification Number: _____

b. If a Sole Proprietorship, Federal Social Security Account Number: _____

6. Full given name and residence (P.O. Box is not acceptable) of the owner or partners. In the case of a corporation, association or trust, list the directors, trustees and principal officers. In the case of an LLC, list each member:

FULL GIVEN NAME TITLE RESIDENCE DATE OF BIRTH OTHER OCCUPATION

7. Are you engaged or do you intend to engage in the first mortgage business in conjunction with any other business? (exclude any mortgage activity which has been licensed by this department under the same name and any application submitted to this department to engage in mortgage activity which is pending) Yes ☐ No ☐ If yes, specify other business in detail: (use attachment to application if needed.)

